

MORRISON & FOERSTER LLP

Attorneys at Law
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5600
Facsimile: (650) 494-0792

To:

NAME:	FACSIMILE:	TELEPHONE:
Filing Receipt Corrections, Office of Initial Patent Examination U.S. Patent and Trademark Office	703-746-9195	703-308-1202

FROM: Alan S. Hodes**DATE:** March 22, 2004

Number of pages with cover page: 6	
------------------------------------	--

Preparer of this slip has confirmed that facsimile number given is correct: 7230/PME2**CAUTION - CONFIDENTIAL**

This facsimile contains confidential information which may also be privileged. Unless you are the addressee (or authorized to receive for the addressee), you may not copy, use, or distribute it. If you have received it in error, please advise Morrison & Foerster LLP immediately by telephone or facsimile and return it promptly by mail.

Comments:**OFFICIAL FILING**

Attorney Docket No.: 299002056800
Group Art Unit: 2818
Examiner: Not Yet Assigned
U.S. Patent Application Serial No.: 10/630,641
Filing Date: July 29, 2003
Inventor(s): Shinsuke ANZAI et al.
Title: SEMICONDUCTOR MEMORY DEVICE

Papers enclosed herewith:

1. Transmittal - 1 page
2. Request for Corrected Filing Receipt - 2 pages
3. Copy of marked-up Filing Receipt - 2 pages

Please acknowledge receipt via return facsimile.

PTO/SB/2.1 (08-03)


Approved for use through 07/31/2006. OMB 0-151-0031

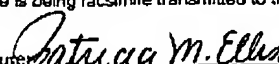
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/630,641	
	Filing Date	July 29, 2003	
	First Named Inventor	Shinsuke ANZAI	
	Art Unit	2818	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	5	Attorney Docket Number	299002056800

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt - 2 pages Copy of marked-up Filing Receipt - 2 pages Fax cover sheet
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	MORRISON & FOERSTER LLP (Customer No. 25226) Alan S. Hodes - 38,185	
Signature		
Date	March 22, 2004	

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 7-18-9195, on the date shown below.	
Dated: March 22, 2004	Signature:  (Patricia M. Ellison)

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. (703) 746-9183, on the date shown below.

Dated: March 23, 2004

Signature: *Patricia M. Ellison* (Patricia M. Ellison)

Docket No.: 299002056800
(PATENT)

Client Ref.: F5-03036338/03R00454/US/JOH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Shinsuke ANZAI et al.

Application No.: 10/630,641

Confirmation No.: 8273

Filed: July 29, 2003

Art Unit: 2818

For: SEMICONDUCTOR MEMORY DEVICE

Examiner: Not Yet Assigned

REQUEST FOR CORRECTED FILING RECEIPT

Filing Receipt Corrections
Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has the following errors:

In the Applicant(s) field, please replace:

"Shinsuke Anzai, Tenri-shi, JAPAN

Yasumichi Mori, Nara, JAPAN

Hidehiko Tanaka, Nara, JAPAN"

with:

--Shinsuke Anzai, Tenri-shi, JAPAN

Yasumichi Mori, Ikoma-shi, JAPAN

Hidehiko Tanaka, Nara-shi, JAPAN--.

PA-871274

Application No.: 10/630,641

2

Docket No.: 299002(56800

Support for these corrections can be found in the Declaration filed January 21, 2004.

Applicants additionally request that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Dated: March 22, 2004

Respectfully submitted,

By 

Alan S. Hodes

Registration No.: 38,185

MORRISON & FOERSTER LLP

755 Page Mill Road

Palo Alto, California 94304

(650) 813-5622

pa-871274



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22315-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/630,641	07/29/2003	2818	880	299002056800	9	7	1

CONFIRMATION NO. 8273

25226
MORRISON & FOERSTER LLP
755 PAGE MILL RD
PALO ALTO, CA 94304-1018

RECEIVED

MAR 15 2004

Morrison & Foerster, LLP
Palo Alto

UPDATED FILING RECEIPT

OC000000012059890

Date Mailed: 03/10/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shinsuke Anzai, Tenri-shi, JAPAN;
Yasumichi Mori, Nara, JAPAN; Ikoma-shi
Hidehiko Tanaka, Nara, JAPAN; Nara-shi

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2002-221145 07/30/2002
JAPAN 2003-148335 05/26/2003

If Required, Foreign Filing License Granted: 01/02/2004

Projected Publication Date: 06/17/2004

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor memory device

DOCKETED 12

Preliminary Class
365

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).